

Transfer Form & Instructions

Use this form to transfer your Grant Park position from one selling firm to another.

This form must be completed in its entirety and should be returned to your firm's back office for processing.

1. Investor Information

Provide information about the firm you are transferring from below.

Grant Park Account Title (how the statement reads)

Grant Park Investor ID

Selling Firm Account Number

Address (if changing)

City

State

Zip

Daytime Phone Number

Email

☐ Check box to opt out of accessing your monthly statement online

2. Transfer Information

Provide information about the firm you are transferring to below.

Enter the new selling firm account number

Name of New Selling Firm (as it appears on the Selling Firm Agreement)

New Selling Firm Custodian (if any)

Custodian Address

City

State

Zip

3. Financial Advisor Information

Provide new advisor and branch information below.

Name of New Advisor

Daytime Phone Number

Fax Number

Advisor ID Number

Branch ID Number

Email

Address

City

State

Zip

Financial Advisor Signature

Date

Branch Manager Signature

Date

FOR INTERNAL USE ONLY

Investor ID

Selling Firm

Account Type

Unit Total

Advisor

Class

4. Authorization

All account owners must sign this section.

Owner’s Name (print)

Co-Owner’s Name (print)

Owner’s Signature

Date

Co-Owner’s Signature

Date

Signature Medallions

New Entity Signature Guarantee Medallion Stamp

Resigning Entity Signature Guarantee Medallion Stamp